

# Long range order in atomistic models for solids

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## Mini-course – Lecture 2

GSSI L'Aquila, April 29, 2025  
joint work on F. Theil, JEMS 2022

Particles, Fluids and Patterns:  
Analytical and Computational Challenges

- 1 Mermin's no-crystallization theorem in  $d = 2$
- 2 The harmonic approximation
- 3 Dislocations and grains

We intend to exclude that particles interacting with a stable and tempered 2-body potential in  $d = 2$  can form a crystal associated with a Bravais lattice with basis  $\mathbf{a}_1, \mathbf{a}_2$  at  $\beta > 0$ . Setting:

- Torus  $\Lambda_L$  with sides  $L\mathbf{a}_1, L\mathbf{a}_2$ , and  $N = L^2$
- Pair potential  $V_\Lambda(\mathbf{Q}^{(N)}) = \sum_{i < j} v_{\Lambda_L}(\mathbf{q}_i - \mathbf{q}_j) \equiv \sum_{i < j} v_{ij}$
- Potential  $W_\Lambda(\mathbf{Q}^{(N)}) = \sum_i w_{\Lambda_L}(\mathbf{q}_i) \equiv \sum_i w_i$  pinning particles at  $\mathbb{L} = \cup_{\mathbf{n} \in \mathbb{Z}^2} \{n_1\mathbf{a}_1 + n_2\mathbf{a}_2\}$
- Expectation  $\langle \cdot \rangle_{\beta, \Lambda_L, \epsilon} \equiv \langle \cdot \rangle$  w.r.t. Gibbs distrib.  
 $\propto d\mathbf{Q}^{(N)} e^{-\beta\Phi_{\Lambda_L}}$  with  $\Phi_\Lambda = V_\Lambda + \epsilon W_\Lambda$
- Reciprocal vectors:  $\mathbf{G}_1, \mathbf{G}_2$  s.t.  $\mathbf{a}_i \cdot \mathbf{G}_j = 2\pi\delta_{i,j}$ .  
 Reciprocal lattice:  $\mathbb{L}^* := \cup_{\mathbf{n} \in \mathbb{Z}^2} \{n_1\mathbf{G}_1 + n_2\mathbf{G}_2\}$
- First Brillouin zone:  $\mathcal{B} := \{\xi_1\mathbf{G}_1 + \xi_2\mathbf{G}_2 : \xi_1, \xi_2 \in [0, 1)\}$   
 (at finite  $L$ :  $\mathcal{B}_L := \{n_1\mathbf{G}_1/L + n_2\mathbf{G}_2/L : 0 \leq n_1, n_2 < L\}$ )
- For  $\mathbf{k} \in \mathcal{B}_L$ , let  $\hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{k}) := \frac{1}{N} \langle \sum_i e^{-i\mathbf{k} \cdot \mathbf{q}_i} \rangle$ .

Crystallization criterion:

- ①  $\hat{\rho}_\epsilon(\mathbf{G}) := \lim_{L \rightarrow \infty} \hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{G})$  is non-zero and s.t.  
 $\lim_{\epsilon \rightarrow 0^+} |\hat{\rho}_\epsilon(\mathbf{G})| > 0$  for at least one non-zero  $\mathbf{G} \in \mathbb{L}^*$ .
- ② For any bounded  $\gamma : \mathcal{B} \rightarrow \mathbb{R}$  and  $p = 1, 2$ :

$$\lim_{L \rightarrow \infty} L^{-2} \sum_{\substack{\mathbf{k} \in \mathcal{B}_L: \\ \mathbf{k} \neq \mathbf{0}}} \gamma(\mathbf{k}) |\hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{k})|^p = 0$$

The two conditions cannot simultaneously hold, as a consequence of Bogoliubov's inequality:

$$\langle \left| \sum_i \psi_i \right|^2 \rangle \geq \frac{|\langle \varphi_i \nabla \psi_i \rangle|^2}{\langle \frac{\beta}{2} \sum_{i,j} \Delta v_{ij} |\varphi_i - \varphi_j|^2 + \epsilon \beta \sum_i \Delta w_i |\varphi_i|^2 + \sum_i |\nabla \varphi_i|^2 \rangle},$$

valid for any pair of smooth functions  $\psi, \varphi$  from  $\Lambda_L$  to  $\mathbb{C}$  (here  $\psi_i = \psi(\mathbf{q}_i)$  and  $\varphi_i = \varphi(\mathbf{q}_i)$ ).

If we now choose  $\psi(\mathbf{q}) = e^{-i(\mathbf{k}+\mathbf{G})\cdot\mathbf{q}}$  and  $\varphi(\mathbf{q}) = \sin(\mathbf{k} \cdot \mathbf{q})$  for two non-zero vectors  $\mathbf{G} \in \mathbb{L}^*$  and  $\mathbf{k} \in \mathbb{B}_L$ , Bogoliubov's inequality reads:

$$\langle \left| \sum_i e^{-i(\mathbf{k}+\mathbf{G})\cdot\mathbf{q}_i} \right|^2 \rangle \geq \frac{\frac{|\mathbf{k}+\mathbf{G}|^2}{4} \left| \langle \sum_i (e^{-i\mathbf{G}\cdot\mathbf{q}_i} - e^{-i(\mathbf{G}+2\mathbf{k}_i)\cdot\mathbf{q}_i}) \rangle \right|^2}{(A) + (B) + (C)}, \quad \text{where:}$$

$$(A) = \frac{\beta}{2} \sum_{i,j} \langle \Delta v_{i,j} | \sin(\mathbf{k} \cdot \mathbf{q}_i) - \sin(\mathbf{k} \cdot \mathbf{q}_j) |^2 \rangle \leq \frac{\beta}{2} |\mathbf{k}|^2 \sum_{i,j} \langle \Delta v_{i,j} | \mathbf{q}_i - \mathbf{q}_j |^2 \rangle$$

$$(B) = \epsilon\beta \sum_i \langle \Delta w_i | \sin(\mathbf{k} \cdot \mathbf{q}_i) |^2 \rangle \leq \epsilon\beta \sum_i \langle \Delta w_i \rangle$$

$$(C) = |\mathbf{k}|^2 \sum_i \langle (\cos(\mathbf{k} \cdot \mathbf{q}_i))^2 \rangle \leq N|\mathbf{k}|^2$$

Recalling that  $\hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{p}) = \frac{1}{N} \sum_i \langle e^{-i\mathbf{p}\cdot\mathbf{q}_i} \rangle$ , dividing both sides by  $N$ :

$$\frac{1}{N} \langle \left| \sum_i e^{-i(\mathbf{k}+\mathbf{G})\cdot\mathbf{q}_i} \right|^2 \rangle \geq \frac{|\mathbf{k} + \mathbf{G}|^2 |\hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{G}) - \hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{G} + 2\mathbf{k})|^2}{C_1 |\mathbf{k}|^2 + \epsilon C_2}$$

where  $C_1 = 4 + 2\frac{\beta}{N} \sum_{i,j} \langle \Delta v_{i,j} | \mathbf{q}_i - \mathbf{q}_j |^2 \rangle$ ,  $C_2 = 4\frac{\beta}{N} \sum_i \langle \Delta w_i \rangle$ .

Let  $\gamma : \mathcal{B} \rightarrow \mathbb{R}$  be a smooth non-negative function supported on the ball of radius  $|\mathbf{G}^*|/4$  (where  $|\mathbf{G}^*|$  is the minimum length of a non-zero vector in  $\mathbb{L}^*$ ) of total integral 1. If we multiply both sides of the previous inequality by  $\gamma(\mathbf{k})$  and sum over  $\mathbf{k} \in \mathbb{B}_L \setminus \mathbf{0}$  we get:

$$\begin{aligned} \frac{1}{L^2} \sum_{\mathbf{k} \neq \mathbf{0}} \gamma(\mathbf{k}) \left( 1 + \frac{1}{N} \sum_{i \neq j} \langle e^{i(\mathbf{G} + \mathbf{k}) \cdot (\mathbf{q}_i - \mathbf{q}_j)} \rangle \right) &\geq \\ &\geq \frac{1}{L^2} \sum_{\mathbf{k} \neq \mathbf{0}} \gamma(\mathbf{k}) \frac{|\mathbf{k} + \mathbf{G}|^2 |\hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{G}) - \hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{G} + 2\mathbf{k})|^2}{C_1 |\mathbf{k}|^2 + \epsilon C_2} \end{aligned}$$

We now let  $L \rightarrow \infty$ . If hypothesis (2) on  $\hat{\rho}_{\Lambda_L, \epsilon}$  holds, then all the terms in the RHS involving  $\hat{\rho}_{\Lambda_L, \epsilon}(\mathbf{G} + 2\mathbf{k})$  vanish as  $L \rightarrow \infty$ .

Suppose also that  $\exists \alpha_0, \alpha_1, \alpha_2$  independent of  $\epsilon$  s.t., letting  $\Gamma(\mathbf{q}) := \frac{1}{L^2} \sum_{\mathbf{k} \neq \mathbf{0}} \gamma(\mathbf{k}) e^{i(\mathbf{G} + \mathbf{k}) \cdot \mathbf{q}}$ :

$$\lim_{L \rightarrow \infty} \frac{1}{N} \sum_{i \neq j} \langle \Gamma(\mathbf{q}_i - \mathbf{q}_j) \rangle \leq \alpha_0 \quad (*)$$

$$\lim_{L \rightarrow \infty} C_1 \leq \alpha_1, \quad \lim_{L \rightarrow \infty} C_2 \leq \alpha_2.$$

Then

$$1 + \alpha_0 \geq |\hat{\rho}_\epsilon(\mathbf{G})|^2 (3|\mathbf{G}^*|/4)^2 \int_{\mathcal{B}} \frac{d\mathbf{k}}{|\mathcal{B}|} \frac{\gamma(\mathbf{k})}{\alpha_1 |\mathbf{k}|^2 + \epsilon \alpha_2}$$

The integral in the RHS diverges  $\propto \log(1/\epsilon)$  as  $\epsilon \rightarrow 0^+$ : therefore  $\lim_{\epsilon \rightarrow 0^+} |\hat{\rho}_\epsilon(\mathbf{G})| = 0$ , as announced.

It remains to prove assumption (\*). For this purpose, consider:

$$Z_{\Lambda_L}(\epsilon, \lambda, \eta, \rho) := \frac{1}{N!} \int d\mathbf{q}_1 \cdots d\mathbf{q}_N e^{-\beta \Psi_{\Lambda_L}(\mathbf{Q}^{(N)})}, \quad \text{where:}$$

$$\Psi_{\Lambda_L}(\mathbf{Q}^{(N)}) = (V_{\Lambda_L} + \epsilon W_{\Lambda_L})(\mathbf{Q}^{(N)}) + \lambda \sum_{i < j} \Delta v_{ij} |\mathbf{q}_i - \mathbf{q}_j|^2 + \eta \sum_i \Delta w_i + \rho \sum_{i < j} \Gamma_{ij}$$

$\Psi_{\Lambda_L}$  is a stable and tempered potential, so that Fisher's theorem on the existence of the thermodynamic limit holds. Therefore

$$\lim_{N=L^2 \rightarrow \infty} \frac{1}{N} \log Z_{\Lambda_L}(\epsilon, \lambda, \eta, \rho) = f(\epsilon, \lambda, \eta, \rho)$$

exists, it is finite for  $\epsilon, \lambda, \eta, \rho$  sufficiently small and convex in  $\lambda, \eta, \rho$ . Note that:

$$\begin{aligned} \frac{1}{N} \partial_\lambda \log Z_{\Lambda_L}(\epsilon, \lambda, \eta, \rho) \Big|_{\lambda=\eta=\rho=0} &= \frac{1}{N} \sum_{i < j} \langle \Delta v_{ij} | \mathbf{q}_i - \mathbf{q}_j |^2 \rangle \\ \frac{1}{N} \partial_\eta \log Z_{\Lambda_L}(\epsilon, \lambda, \eta, \rho) \Big|_{\lambda=\eta=\rho=0} &= \frac{1}{N} \sum_i \langle \Delta w_i \rangle \\ \frac{1}{N} \partial_\rho \log Z_{\Lambda_L}(\epsilon, \lambda, \eta, \rho) \Big|_{\lambda=\eta=\rho=0} &= \frac{1}{N} \sum_{i < j} \langle \Gamma(\mathbf{q}_i - \mathbf{q}_j) \rangle \end{aligned}$$

By convexity, the (possibly subsequential) limits of these quantities are bounded as  $N \rightarrow \infty$  (because the derivative of a convex function  $f : I \rightarrow \mathbb{R}$  in an internal point  $x_0 \in I$  can be bounded by  $\frac{2 \max_{x \in I} |f(x)|}{\text{dist}(x_0, \partial I)}$ )



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# Back to the “real” model

Classical particles interacting via pair potential  $v(\mathbf{q}) = \varphi(|\mathbf{q}|)$ , with minimum deep and narrow at  $\ell_0 \equiv 1$ :

$$V(\mathbf{Q}^{(N)}) = \sum_{i < j} \varphi(|\mathbf{q}_i - \mathbf{q}_j|).$$

In 2D  $\operatorname{argmin}_q H(q) =$  triangular lattice (Radin 1981, Theil 2006).

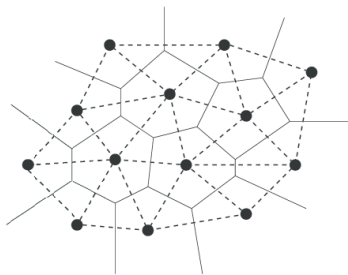
In 3D, min expected to be FCC (Flatley-Theil 2015).

Consider, e.g.,  $d = 2$ .

Energy well approximated by

$$H_{nn}(\mathbf{Q}^{(N)}) = \sum_{\langle \xi, \eta \rangle \in \mathcal{E}(\mathbf{Q}^{(N)})} \varphi(|\mathbf{q}(\xi) - \mathbf{q}(\eta)|)$$

where  $\mathcal{E}(\mathbf{Q}^{(N)})$  is the edge set of the **Delaunay triangulation**  $DT(\mathbf{Q}^{(N)})$ .



# The harmonic approximation

Take  $DT(\mathbf{Q}^{(N)})$  to be (a portion  $\mathbb{T}_L$  of) the triangular lattice  $\mathbb{T}$ . Write  $\mathbf{q}(\mathbf{x}_i) = \mathbf{x}_i + \mathbf{u}(\mathbf{x}_i)$  with  $\mathbf{x}_i \in \mathbb{T}$ . Expanding we get:

$$H_{nn}(\mathbf{Q}^{(N)}) \simeq E_0 + H_{harm}(\mathbf{Q}^{(N)}), \quad \text{with:}$$

$$H_{harm}(\mathbf{U}^{(N)}) = \frac{\varphi''(1)}{2} \sum_{\langle \mathbf{x}, \mathbf{y} \rangle \in \mathbb{T}_L} [(\mathbf{u}(\mathbf{x}) - \mathbf{u}(\mathbf{y})) \cdot (\mathbf{x} - \mathbf{y})]^2$$

A similar formal derivation can be repeated in  $d = 3$ , with  $\mathbb{T}$  replaced by the FCC lattice  $\mathbb{F}$ , a Bravais lattice with basis vectors

$$\mathbf{a}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \quad \mathbf{a}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \quad \mathbf{a}_3 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$$

**Harmonic model:** exactly solvable statistical mechanics model with formal Gibbs measure  $\propto \prod_{\mathbf{x} \in \mathcal{L}} d\mathbf{u}(\mathbf{x}) e^{-\beta H_{harm}(\mathbf{U})}$  where  $\mathcal{L} = \mathbb{T}, \mathbb{F}$ , depending on whether  $d = 2, 3$ .

# Positional LRO in the harmonic model, I

Take finite  $L$  and let  $\mathcal{L}_L$  be the discrete torus obtained by taking a portion of  $\mathcal{L}$  of sides  $L\mathbf{a}_1, \dots, L\mathbf{a}_d$  with periodic boundary conditions. Let  $\langle \cdot \rangle_{\beta, L, \epsilon}$  be the expectation w.r.t. Gibbs distribution

$$\propto \prod_{\mathbf{x} \in \mathcal{L}_L} d\mathbf{u}(\mathbf{x}) e^{-\beta(H_{\text{harm}}(\mathbf{u}^{(N)}) + \epsilon \|\mathbf{u}^{(N)}\|^2)}$$

with  $N = L^d$ .

We say that system exhibits positional Long Range Order (LRO) if

$$\lim_{\epsilon \rightarrow 0^+} \lim_{L \rightarrow \infty} \langle |\mathbf{u}(\mathbf{0})|^2 \rangle_{\beta, L, \epsilon} = c_1(\beta)$$
$$\liminf_{|\mathbf{x} - \mathbf{y}| \rightarrow \infty} \lim_{\epsilon \rightarrow 0^+} \lim_{L \rightarrow \infty} \langle |\mathbf{u}(\mathbf{x}) - \mathbf{u}(\mathbf{y})|^2 \rangle_{\beta, L, \epsilon} = c_2(\beta)$$

with  $c_1(\beta), c_2(\beta)$  two positive functions, tending to 0 as  $\beta \rightarrow \infty$ .

## Positional LRO in the harmonic model, II

Let us focus, e.g., on the first condition. Let

$$\mathbf{u}(\mathbf{x}) = \frac{1}{L^d} \sum_{\mathbf{k} \in \mathcal{B}_L} e^{-i\mathbf{k} \cdot \mathbf{x}} \hat{\mathbf{u}}(\mathbf{k}) \quad \Leftrightarrow \quad \hat{\mathbf{u}}(\mathbf{k}) = \sum_{\mathbf{x} \in \mathcal{L}_L} e^{i\mathbf{k} \cdot \mathbf{x}} \mathbf{u}(\mathbf{x})$$

so that

$$\begin{aligned} H_{\text{harm}}(\mathbf{U}^N) &= \frac{1}{L^d} \sum_{\mathbf{k} \in \mathcal{B}_L} \sum_i |\hat{\mathbf{u}}(\mathbf{k}) \cdot \mathbf{a}_i|^2 2(1 - \cos(\mathbf{k} \cdot \mathbf{a}_i)) \\ &\equiv \frac{1}{L^d} \sum_{\mathbf{k} \in \mathcal{B}_L} \hat{\mathbf{u}}(-\mathbf{k}) \cdot \hat{A}(\mathbf{k}) \hat{\mathbf{u}}(\mathbf{k}), \end{aligned}$$

where  $\hat{A}(\mathbf{k}) = \sum_i 2(1 - \cos(\mathbf{k} \cdot \mathbf{a}_i)) \mathbf{a}_i \otimes \mathbf{a}_i$ , and the sum over  $i$  runs over  $\{1, 2, 3\}$  if  $d = 2$  and over  $\{1, \dots, 6\}$  if  $d = 3$ .

[In  $d = 2$ , we can choose

$$\mathbf{a}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \mathbf{a}_2 = \begin{pmatrix} -1/2 \\ \sqrt{3}/2 \end{pmatrix}, \quad \mathbf{a}_3 = \begin{pmatrix} -1/2 \\ -\sqrt{3}/2 \end{pmatrix}.$$

In  $d = 3$  we can choose  $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$  as the basis vectors of  $\mathbb{F}$ , and  $\mathbf{a}_4 = \mathbf{a}_3 - \mathbf{a}_2$ ,  $\mathbf{a}_5 = \mathbf{a}_1 - \mathbf{a}_3$ ,  $\mathbf{a}_6 = \mathbf{a}_2 - \mathbf{a}_1$ .]

## Positional LRO in the harmonic model, III

For small  $\mathbf{k}$ ,  $\hat{A}(\mathbf{k}) = \hat{A}_0(\mathbf{k}) + O(|\mathbf{k}|^4)$ , where

$$\hat{A}_0(\mathbf{k}) = \sum_i (\mathbf{k} \cdot \mathbf{a}_i)^2 \mathbf{a}_i \otimes \mathbf{a}_i,$$

whose eigenvalues are all of order  $|\mathbf{k}|^2$  as  $\mathbf{k} \rightarrow \mathbf{0}$ . In  $d = 2$ , this is particularly easy to check:

$$\hat{A}_0(\mathbf{k}) = \begin{pmatrix} \frac{9}{8}k_1^2 + \frac{3}{8}k_2^2 & \frac{3}{4}k_1k_2 \\ \frac{3}{4}k_1k_2 & \frac{3}{8}k_1^2 + \frac{9}{8}k_2^2 \end{pmatrix} \equiv \frac{3}{8}|\mathbf{k}|^2 + \frac{3}{4}\mathbf{k} \otimes \mathbf{k},$$

whose eigenvalues are  $\frac{3}{8}|\mathbf{k}|^2, \frac{9}{8}|\mathbf{k}|^2$ .

We thus find:

$$\langle |\mathbf{u}(\mathbf{0})|^2 \rangle_{\beta, L, \epsilon} = \frac{1}{L^d} \sum_{\mathbf{k} \in \mathcal{B}_L} \langle |\mathbf{u}(\mathbf{k})|^2 \rangle_{\beta, L, \epsilon} = \frac{1}{\beta} \frac{1}{L^d} \sum_{\mathbf{k} \in \mathcal{B}_L} \text{Tr}[\hat{A}(\mathbf{k}) + \epsilon \mathbf{1}]^{-1}$$

Taking  $L \rightarrow \infty$  we find:

$$\lim_{L \rightarrow \infty} \langle |\mathbf{u}(\mathbf{0})|^2 \rangle_{\beta, L, \epsilon} = \frac{1}{\beta} \int_{\mathcal{B}} \frac{d\mathbf{k}}{|\mathcal{B}|} \text{Tr}[\hat{A}(\mathbf{k}) + \epsilon \mathbf{1}]^{-1}$$

which is:

- positive and of order  $1/\beta$  uniformly in  $\epsilon$  as  $\epsilon \rightarrow 0^+$  if  $d = 3$
- positive and  $\sim (\text{const.}) \frac{1}{\beta} \log(\epsilon^{-1})$  as  $\epsilon \rightarrow 0^+$  if  $d = 2$

In other words, the harmonic model predicts positional LRO in  $d = 3$  and no positional LRO in  $d = 2$ .

# Orientational LRO in the harmonic model

The same computation shows that:

$$\begin{aligned}\lim_{L \rightarrow \infty} \langle |\mathbf{u}(\mathbf{0}) - \mathbf{u}(\mathbf{a}_i)|^2 \rangle_{\beta, L, \epsilon} &= \frac{1}{\beta} \lim_{L \rightarrow \infty} |1 - e^{-i\mathbf{k} \cdot \mathbf{a}_i}|^2 \langle |\hat{\mathbf{u}}(\mathbf{k})|^2 \rangle_{\beta, L, \epsilon} \\ &= \frac{1}{\beta} \int_{\mathcal{B}} \frac{d\mathbf{k}}{|\mathcal{B}|} 2(1 - \cos(\mathbf{k} \cdot \mathbf{a}_i)) \text{Tr}[\hat{\mathbf{A}}(\mathbf{k}) + \epsilon \mathbf{1}]^{-1}\end{aligned}$$

which is positive and of order  $1/\beta$  uniformly in  $\epsilon$  as  $\epsilon \rightarrow 0^+$  both in  $d = 2$  and in  $d = 3$ .

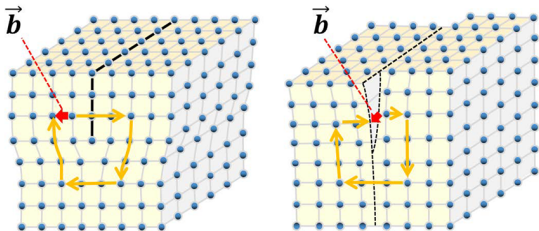
Big limitation: the harmonic model does not account for **dislocation defects**. The predictions of the harmonic approx. can be generalized to non-harmonic models, accounting for certain lattice defects (missing atoms), see Heydenreich-Merkel-Rolles, Electron. J. Prob. 2014. However, essentially no results for models allowing the presence of dislocations.



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# Dislocations and the KTHNY model

Edge and screw dislocations



In their famous paper on *XY*, [Kosterlitz-Thouless 1973](#) studied also 2D crystals; they proposed to add a pair interaction among dislocations with Burgers vectors  $\{b_i\}$  located at  $\{r_i\}$  of the form (letting  $r_{ij} = r_i - r_j$ ):

$$H_{dis}(b) = K \sum_{i < j} \left[ b_i \cdot b_j \log |r_{ij}| - \frac{(b_i \cdot r_{ij})(b_j \cdot r_{ij})}{|r_{ij}|^2} + \frac{1}{2} b_i \cdot b_j \right]$$

In addition to this interaction energy, dislocations come with finite self-energy. Similar formula in 3D with  $\log |r_{ij}| \rightsquigarrow 1/|r_{ij}|$ .

KT model investigated further in [Nelson-Halperin, Young 1979](#).

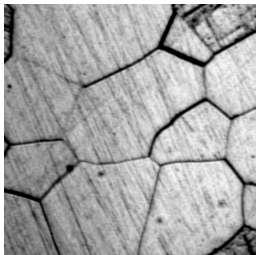
Predictions in  $d = 2$ :

- $T < T_m$ : algebraic decay of positional correlations & orientational LRO
- $T_m < T < T_i$ : exponential decay of positional correlations & algebraic decay of orientational correlations
- $T > T_i$ : exponential decay of all correlations

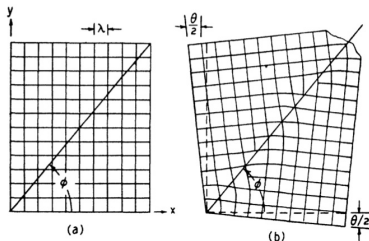
Model intrinsically mesoscopic, BUT unclear whether it supports **grains**

# Grains and grain boundaries

Typical configurations consist of **grains** with 'constant' orientations  $\theta_i$ ;



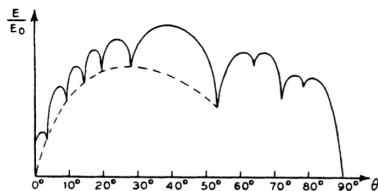
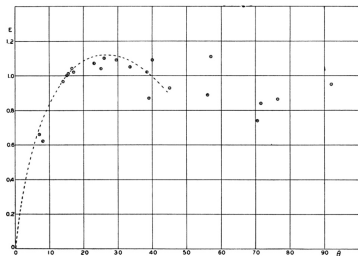
On the grain boundaries: finite density of defects.



# A mesoscopic model of grains

Grains have finite surface tension. **Read-Shockley** law:

$$\tau(\Delta\theta) \underset{\Delta\theta \rightarrow 0}{\sim} \Delta\theta(A - \log(\Delta\theta))$$



Effective model: 
$$E(\theta) = \sum_{i < j} v(\theta_i - \theta_j)$$

with  $v(\theta) \geq 0$  e  $v(\theta) \sim -\theta \log \theta$  per  $\theta \rightarrow 0^+$ . Notwithstanding this singular behavior, MW holds ([Ioffe-Schlosman-Velenik 2005](#))  $\Rightarrow$  this suggests **no** orientational LRO in  $d = 2$ .

## To order or not to order?

How to explain this contradiction?

Vague answer: neighboring grains never display arbitrarily small  $\Delta\theta_{ij}$ : these are pinned to discrete set of magic angles  $\Rightarrow$  at mesoscopic level the system behaves like a clock model rather than like  $XY \Rightarrow$  orientational LRO possible in 2D

It would be desirable to identify a treatable microscopic model of a crystal, supporting dislocations and grains, in which prove or disprove existence of 2D orientational LRO (as well as characterize the typical low  $T$  configurations: do they correspond to grains with discrete relative orientations?)

The Ariza-Ortiz model is a good candidate: it is a sort of vectorial analogue of the Villain model. Our main results on LRO concern the 'easy' case of  $d = 3$ , which we started to study as a preparation to  $d = 2$ . We will discuss it tomorrow.